

Brazilian Journal of Physical Therapy



https://www.journals.elsevier.com/brazilian-journal-of-physical-therapy

SYSTEMATIC REVIEW

# Therapeutic ultrasound for knee osteoarthritis: A systematic review and meta-analysis with grade quality assessment



Lucas Ogura Dantas<sup>a,b</sup>, Mikala C. Osani<sup>c</sup>, Raveendhara R. Bannuru<sup>c,d,\*</sup>

<sup>a</sup> Division of Rheumatology, Tufts Medical Center, Boston, MA, USA

<sup>b</sup> Physical Therapy Department, Universidade Federal de São Carlos, São Carlos, São Paulo, Brazil

<sup>c</sup> Center for Treatment Comparison and Integrative Analysis, Division of Rheumatology, Tufts Medical Center, Boston, MA, USA

<sup>d</sup> Center for Complementary and Integrative Medicine, Division of Rheumatology, Tufts Medical Center, Boston, MA, USA

Received 4 March 2020; received in revised form 1 July 2021; accepted 30 July 2021 Available online 9 September 2021

KEYWORDS	Abstract
Analgesia;	Background: Therapeutic ultrasound (US) is a widely used intervention in physical therapy to
Chronic pain;	manage pain and to aid in the healing of soft tissue.
Clinical applications; Electrophysical	<i>Objective:</i> This systematic review aimed to determine the effects of therapeutic US on knee osteoarthritis (KOA) symptoms.
agent;	Methods: PubMed, MEDLINE, EMBASE, Google Scholar, and the Cochrane databases were searched
Physical therapy	from inception to April 2019. Randomized controlled trials (RCTs) involving adults with symptomatic
Thysical therapy	KOA that compared therapeutic US with a sham or other control were included. The methodological
	quality of the trials was assessed at the study level using the Cochrane Risk of Bias tool. The quality of
	evidence at the outcome level- and overall- was assessed using GRADE methodology. Meta-analyses
	were conducted using random effects models, and heterogeneity was assessed using the $l^2$ statistic.
	<i>Results</i> : Four studies ( $N = 234$ participants) were eligible for inclusion in our primary analyses
	assessing therapeutic US versus sham. The methodological quality of the included RCTs ranged
	from moderate to very low. Treatment with therapeutic US resulted in small, statistically signifi-
	cant benefits for pain (approximate 9.6% improvement on a 0–100 visual analog scale [95% confi-
	dence interval: 2, 17.4%]) and self-reported measures of function (approximate 12.8%
	improvement on a $0-100$ visual analog scale [0.4, 25.2%]). The overall quality of the evidence
	was very low. No adverse events were reported in any of the included studies.
	Conclusions: The use of therapeutic US may provide additional benefits to physical therapy regi-
	mens in terms of symptom relief in individuals with KOA. However, it is not possible to make any
	meaningful recommendations for clinical practice due to the small number of applicable RCTs
	and the low methodological quality of the RCTs deemed eligible for this study.

\* Corresponding author at: Center for Complementary and Integrative Medicine, Division of Rheumatology, Tufts Medical Center, 800 Washington St., #406, Boston, MA 02111, USA.

E-mail: rbannuru@tuftsmedicalcenter.org (R.R. Bannuru).

https://doi.org/10.1016/j.bjpt.2021.07.003

1413-3555/© 2021 Associação Brasileira de Pesquisa e Pós-Graduação em Fisioterapia. Published by Elsevier España, S.L.U. All rights reserved.

© 2021 Associação Brasileira de Pesquisa e Pós-Graduação em Fisioterapia. Published by Elsevier España, S.L.U. All rights reserved.

### Introduction

Knee osteoarthritis (KOA) affects approximately 250 million people worldwide<sup>1</sup> and has a high societal and economic burden.<sup>2</sup> Because there is no known cure for or spontaneous remission of the disease,<sup>2</sup> therapeutic regimens must often address chronic symptom management. However, the longterm use of conventional pharmacologic treatments, particularly opioids, has raised concerns due to the potential for serious adverse side-effects<sup>3</sup> and misuse.<sup>4</sup> Current clinical practice guidelines recommend a combination of non-pharmacological and pharmacological treatments to manage KOA symptoms and have increasingly emphasized reducing the use of pharmacologic analgesics.<sup>5</sup> Despite the evidence in support of non-pharmacologic treatment options, a recent study suggested that non-pharmacologic therapies are still underused in the practical management of knee and hip osteoarthritis (OA).<sup>6</sup> One of the key barriers to uptake of non-pharmacologic treatment modalities, including therapeutic ultrasound (US), is a perceived lack of evidence in support of these treatments on the part of providers.<sup>6</sup>

Therapeutic US is used as a complementary treatment in physical therapy regimens focused on managing pain and aiding in the healing of soft tissue injuries.<sup>7</sup> The treatment exerts therapeutic effects through thermal (continuous US) and non-thermal (pulsed US) modalities via a variety of application parameters (i.e., intensity, wavelength, duty cycle, and frequency).<sup>8</sup> Continuous US achieves the thermal effect and is purported to produce analgesia through temperature elevation, which increases capillary permeability and tissue metabolism, thereby enhancing fibrous tissue extensibility and pain thresholds. Non-thermal effects are achieved by modulating cell membrane permeability, increasing protein synthesis, and activating immune response near the injury site, which may stimulate regeneration of damaged tissue.<sup>9,10</sup>

High-quality evidence is needed to determine the efficacy of therapeutic US. Previous systematic reviews regarding US effectiveness on KOA are outdated,<sup>11–13</sup> and the latest reviews<sup>14,15</sup> presented methodological limitations, such as the inclusion of mixed interventions, that hindered the evidence synthesis,<sup>14,15</sup> and the inclusion of pulsed US (low-intensity) only.<sup>15</sup> We aimed to perform a more focused and comprehensive evidence synthesis targeting the isolated effects of therapeutic US to more clearly define its contributing role as an adjuvant treatment in rehabilitative regimens for KOA. Therefore, we conducted a systematic review and meta-analysis assessing the effects of therapeutic US compared with sham ultrasound on pain, function, and adverse events in individuals with KOA.

## Methods

## Data sources and searches

This study was conducted in accordance with PRISMA guidelines.<sup>16</sup> We searched PubMed, MEDLINE, EMBASE, Google

Scholar, and the Cochrane databases from inception through May 2019. We manually searched the reference lists of the most recent systematic reviews and meta-analyses and reviewed the supplements of conference proceedings published until May 2019. Our search terms included randomized controlled trials (RCTs) involving adults with symptomatic knee and/or hip OA that compared therapeutic US against a sham or other control. We incorporated hip OA into our search criteria to ensure that trials involving mixed populations of patients with knee and hip OA that provided separate data for knee OA participants were not omitted from our study. We did not register our study protocol in the PROS-PERO database because a significant portion of the data acquisition and quality assessment had been conducted prior to the initiation of the present work, in the context of another project that was completed by two of the investigators (MO, RB).<sup>5</sup> The full search strategy is available in the Supplemental Online Material.

#### Study selection

A web-based screening platform (http://rheumatology.tufts medicalcenter.org/CTCIA) was used to conduct abstract and full text screening of the references gathered from the literature search. Based on the PICO framework, we sought the following essential elements within each reference throughout the screening process: Population of participants with knee OA, Intervention of therapeutic US, Comparator of sham US (or inert comparison), and Outcome(s) of pain, function, and/or adverse events.<sup>17</sup> Mixed interventions (e. g., US combined with exercise, hot packs, etc.) and mixed populations (e.g. patients with knee and hip OA, without separate results for knee OA only) were excluded. Though mixed interventions may be common in practice, the allowance of inconsistent concomitant therapies among included studies may result in clinical heterogeneity that may in turn increase the heterogeneity of the effect estimates produced by a meta-analysis.<sup>18</sup> Studies that did not report outcomes of interest were also excluded. Two reviewers (LD, MO) independently assessed the title and abstract of each reference to determine potential eligibility during the abstract screening stage. Articles included during this stage were deemed eligible for full text screening. During the full text screening stage, full manuscripts for each abstract were obtained and examined thoroughly by the same independent reviewers (LD, MO). After abstract and full text screening concluded, respectively, discrepancies in inclusion were adjudicated by a third investigator (RB).

#### Data extraction and quality assessment

Quality was assessed at the study level using the Cochrane Risk of Bias tool.<sup>19</sup> Data on outcomes of interest were extracted by a researcher (MO) using RevMan software.<sup>20</sup> Outcomes of interest included the following: subjective measures of pain and functional status, measured by any validated scale; objective measures of function, specifically,

the 6 m walk test, timed get up and go test, and/or timed walking distance; quality of life, measured by any validated scale; structural changes, including joint space narrowing and cartilage volume change; discontinuation due to adverse events; incidence of treatment-related adverse events, and serious adverse events. If more than one measure of subjective pain or function was reported, the WOMAC scales were prioritized for our analyses; and the component summary scores of the Short Form-36 Item Medical Outcome Survey (SF-36) were the prioritized reporting method for quality of life.

Quality was assessed at the outcome level using GRADE methodology. GRADE Evidence Profiles were constructed and Evidence Tables were produced by exporting the results of all analyses from RevMan into GRADEpro web-based software<sup>21</sup>; evidence tables were produced for both full analysis sets and for the sensitivity analyses limited by study quality. GRADE assesses quality according to four principal domains: risk of bias, inconsistency, indirectness, and imprecision. The overall classification of the evidence is assessed as either "high," "moderate," "low," or "very low." We established and adhered to strict inclusion criteria to circumvent reassessment of the indirectness domain. For risk of bias, we established a priori criteria based on study-level ratings: a "very low" quality rating required that a study received >2high risk of bias ratings or 1 specific high risk rating in the "Other" category in addition to >2 unclear ratings or >3unclear ratings in dimensions other than the "Other" category using the Cochrane Risk of Bias tool; a "low" quality rating required that the study received at least 1 high risk rating; a "moderate" guality rating required that the study received 2 unclear risk of bias ratings, in categories related to Randomization and/or Blinding, and a "High" quality rating required that the study received 1 or fewer unclear risk of bias ratings. We used the following *a priori*  $l^2$  cutoff values for inconsistency ratings:  $\leq$ 50%= low/acceptable heterogeneity, no quality downgrade; >50% and <75%= moderate heterogeneity, "serious" quality downgrade; >75%= high heterogeneity, "very serious" quality downgrade. In grading the imprecision of continuous outcomes, effect size magnitude cutoffs proposed by Cohen were used as benchmarks in assessing the magnitude of the confidence intervals of observed effect estimates<sup>22</sup>: a 95% confidence interval (CI) of an standardized mean difference (SMD) extending between >0.2 and <0.5 points in either direction. "serious" quality downgrade; 95% CI of an SMD extending > 0.5 points in either direction, "very serious" guality downgrade. We closely followed GRADE imprecision guidelines to assess risk ratios, whereby a 1-point downgrade is applied if the 95% CI of the risk ratio crosses the null value. Additional downgrades for imprecision were applied for very small sample sizes in pooled analyses: a "serious" quality downgrade was used to classify sample sizes in one's study arm of <50 individuals, and a "very serious" quality downgrade was used to classify total sample sizes  $\leq$  30 individuals. Because fewer than 10 published studies were gathered for outcomes of interest, we did not objectively assess publication bias using Egger's test (Cochrane Handbook, 10.4.3.1).<sup>23,24</sup> GRADE guality assessments were undertaken by two independent reviewers (MO, RB), who resolved conflicts through discussion and consensus.

#### Data synthesis and analysis

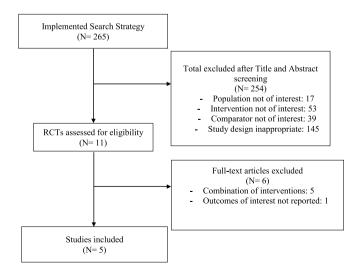
In analyzing continuous data, we calculated SMDs and 95% CIs. To account for clinical and methodological heterogeneity, we conducted meta-analyses using random effects models using the DerSimonian and Laird inverse variance method.<sup>25</sup> To aid in interpretation of SMDs, we used the approach from Bliddal and Christensen, to convert SMDs to percentage improvement on a 0-100 visual analogue scale (VAS).<sup>26</sup> Dichotomous outcomes were analyzed using the Mantel-Haenszel method, and results were reported as risk ratios with 95% CIs.<sup>27</sup> We assessed between-trial variance using Tau squared, and quantified heterogeneity using the  $l^2$ statistic.<sup>28,29</sup> In the event of high levels of heterogeneity  $(I^2 > 75\%)$ , and/or between-trial variability, we conducted analyses excluding any visibly apparent outliers (95% CIs not overlapping with a majority of included studies). All metaanalyses were conducted using RevMan software.<sup>20</sup> A third investigator checked over all data extraction, analyses, and study quality ratings to ensure consistency and accuracy (RB).

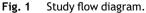
To minimize the potential for bias, our primary efficacy analyses involved only RCTs utilizing adequate sham comparators, but we planned supplemental analyses including other controls *a priori*. All included RCTs contributed to analyses of safety, regardless of control.

# Results

#### Study characteristics and quality

Of 265 potentially relevant references procured by our systematic search, only 5 RCTs<sup>30-34</sup> (N = 264 participants) were eligible for inclusion in our analyses (Fig. 1). The most common reason for exclusion was the incorporation of at least one concomitant physical therapy intervention with therapeutic US. Included RCTs were published between 2009 and 2017, and the duration of the studies ranged from two to eight weeks. Characteristics of the included studies are described in Table 1. The mean age range of participants





Author, year, country	N of patients	Age, mean (years)	% Female	Intervention(s) vs. Comparator	Treatment Frequency	Application location	Rescue analgesia	Follow-up
Külcü, 2009, Turkey <sup>33</sup>	45	63.5	78%	Therapeutic Ultrasound (1 MHz, 1.5 W/cm <sup>2</sup> continu- ously, 10 min/session) vs. No Treatment	5 sessions per week for 3 weeks	Superomedial and lateral parts of the target knee	The control group was allowed to receive acet- aminophen as needed during the study	3 weeks
Özgönenel, 2009, Turkey <sup>34</sup>	67	54.9	81%	Therapeutic Ultrasound (either 1 MHz or 1 W/cm <sup>2</sup> continuously, 5 min/session) vs. Sham Ultrasound	5 times per week for 2 weeks	Lateral and medial margins, avoiding the patella of the target knee	None	2 weeks
Tascioglu, 2010, Turkey <sup>32</sup>	82	60.7	66%	Therapeutic Ultrasound (1 MHz, 2 W/cm <sup>2</sup> continu- ously, 5 min/session) vs. Pulsed Therapeutic Ultra- sound (1 MHz, 2 W/cm <sup>2</sup> and pulsed mode duty cycle of 1:4 for 5 min) vs. Sham Ultrasound	5 times per week for 2 weeks	Superomedial and lateral parts of the target knee	None	2 weeks
Loyola-Sánchez, 2012, Canada <sup>31</sup>	27	61.9	78%	Pulsed Therapeutic Ultra- sound (1 MHz, 0.2–1 W/cm <sup>2</sup> , 9.5 min/session) vs. Sham Ultrasound	3 sessions per week for 8 weeks	Tibiofemoral joint medial to the patellar tendon of the more painful knee	NR	8 weeks
Yeğin, 2017, Turkey <sup>30</sup>	62	ND	82%	Therapeutic Ultrasound (1 MHz, 1 W/cm <sup>2</sup> continu- ously, 8 min/knee) vs. Sham Ultrasound	One treatment session	Superomedial and lateral parts of both knees	NR	4 weeks

Certainty assessment					No. of patients		Effect		Certainty	Importance		
No. of studies	Study design	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	Therapeutic Ultrasound	Sham	Relative (95% CI)	Absolute (95% CI)		
Pain [Decreasing values indicate improvement]; Follow-up time ranged from 2 weeks to 8 weeks												
4	randomized trials	serious <sup>a</sup>	not serious	not serious	serious <sup>b</sup>	none	N = 131	<i>N</i> = 103	SMD 0.33 lower (0.6	ower to 0.07 lower)	⊕⊕⊖⊖ LOW	CRITICAL
Function (subjective) [Decreasing values indicate improvement]: Follow-up time ranged from 2 weeks to 8 weeks												
3	randomized trials	serious <sup>a</sup>	not serious	not serious	serious <sup>b</sup>	none	N = 76	N = 76	SMD 0.33 lower (0.65	lower to 0.01 lower)	⊕⊕⊖⊖ LOW	CRITICAL
6 min walk distance [Increasing values indicate improvement]: Follow-up at 8 weeks												
2	randomized trials	serious <sup>a</sup>	not serious	not serious	not serious	none	N = 42	N = 45	MD 0.10 higher (0.04	higher to 0.16 higher)	⊕⊕⊕⊖ MODERATE	IMPORTANT
Time to walk a ce	ertain distance [Decreasing	y values indicate impr	ovement]: Follow-up a	at 2 weeks								
2	randomized trials	serious <sup>a</sup>	serious	not serious	very serious <sup>c</sup>	none	N= 89	N= 58	SMD 0.36 lower (0.98	ower to 0.27 higher)	⊕○○○ VERY LOW	IMPORTANT
Quality of Life [Increasing values indicate improvement]: Follow-up at 4 weeks												
1	randomized trials	serious <sup>a</sup>	not serious	not serious	serious <sup>b</sup>	none	N = 30	N = 32	SMD 0.02 lower (0.51	ower to 0.48 higher)	⊕⊕⊖⊖ LOW	IMPORTANT
Central Medial Fe	Central Medial Femur Cartilage volume (mm <sup>3</sup> ) [Increasing values indicate improvement]: Follow-up at 8 weeks											
1	randomized trials	serious <sup>a</sup>	not serious	not serious	very serious <sup>c,d</sup>	none	<i>N</i> = 11	N = 12	SMD 0.11 lower (0.93	ower to 0.71 higher)	⊕⊖⊖⊖ VERY LOW	IMPORTANT
Withdrawals due to Adverse Events [Risk ratios less than 1 favor Therapeutic Ultrasound]: Follow-up time ranged from 2 weeks to 8 weeks												
4	randomized trials	serious <sup>a</sup>	not serious	not serious	not serious <sup>e</sup>	none	0/118 (0.0%)	0/88 (0.0%)	not pooled	see comment	⊕⊕⊕⊖ MODERATE	IMPORTANT
Treatment-related Adverse Events [Risk ratios less than 1 favor Therapeutic Ultrasound]: Follow-up time ranged from 2 weeks to 8 weeks												
4	randomized trials	serious <sup>a</sup>	not serious	not serious	not serious <sup>e</sup>	none	0/118 (0.0%)	0/88 (0.0%)	not pooled	see comment	⊕⊕⊕⊖ MODERATE	IMPORTANT

## Table 2 GRADE assessment of therapeutic ultrasound vs. sham for knee osteoarthritis.

OVERALL QUALITY OF EVIDENCE:  $\oplus \oplus \bigcirc \bigcirc$  LOW.

Bibliography: 1. Loyola-Sánchez et al. Arch Phys Med Rehabil. 2012 Jan; 93(1): 35–42; 2. Özgönenel et al. Ultrasound Med Biol. 2009 Jan; 35(1): 44-93; 3. Tascioglu et al. J Int Med Res. 2010 Jul-Aug; 38(4): 1233-42; 4. Yeğin et al. Ultrasound Med Biol. 2017 Jan; 43(1): 187–194. Cl, confidence interval; RR, risk ratio; SMD, standardized mean difference.

Explanations:

692

 $a \geq$  50% of trials received "High" risk of bias ratings ( $\geq$ 1 out of 6 dimensions in the Cochrane Risk of Bias tool)

 $^{b}$  95% CI of an SMD extends between > 0.2 and  ${\leq}0.5$  points in either direction (Cohen 1988\*).

<sup>c</sup> 95% CI of an SMD extends >0.5 points in either direction (Cohen 1988\*).

<sup>d</sup> Total sample size < 30.

<sup>e</sup> Due to zero events in both study groups, a relative risk could not be estimated.

Table 3         Efficacy of therapeutic ultrasound versus sham in knee osteoarthritis.						
Outcome	N of RCTs	N of patients	Effect estimate (95% CI)			
Pain (2-8 weeks of follow-up)	4	234	SMD -0.33 (-0.60, -0.07)			
Pain - % Improvement (0-100 VAS)	4	234	9.6% (2%, 17.4%)			
Pain [Supplemental Analysis <sup>†</sup> ]	5	264	SMD -0.45 (-0.77, -0.13)			
(2–8 weeks of follow-up)						
Pain - % Improvement (0–100 VAS)	5	264	13% (3.8%, 22.3%)			
Function (2–8 weeks of follow-up)	3	152	SMD -0.33 (-0.65, -0.01)			
Function - % Improvement (0–100 VAS)	3	152	12.8% (0.4%, 25.2%)			
Function [Supplemental Analysis <sup>†</sup> ]	4	182	SMD -0.45 (-0.80, -0.09)			
(2–8 weeks of follow-up)						
Function - % Improvement (0–100 VAS)	4	182	17.4% (3.5%, 31%)			
6-minute walk test (4–8 weeks of follow-up)	2	87	SMD 0.10 (0.04, 0.16)			

CI, confidence interval; MD, mean difference; N, number; RCT, randomized controlled trial; SMD, standardized mean difference; VAS, visual analogue scale;

<sup>†</sup> Supplemental analyses included all eligible studies, irrespective of comparator type.

Bold letters indicate statistically significant results or p values lower than 0.05.

was 54 to 64 years old; and one study did not report age.<sup>30</sup> The proportion of females among the five RCTs ranged from 66% to 82%. Three RCTs assessed the efficacy of continuous therapeutic US only,<sup>30,33,34</sup> one assessed pulsed therapeutic US only<sup>31</sup>; and one RCT was a three-arm trial involving both continuous and pulsed US.<sup>32</sup> Four of the five studies involved a sham comparator<sup>30–32,34</sup> and were included in our primary efficacy analyses; one study compared therapeutic US to no treatment.<sup>33</sup> The use of pharmacologic rescue medication (in the event of insufficient pain relief from the study treatments) was not allowed or not reported in the majority of the studies. One study allowed the use of acetaminophen in the control group.<sup>33</sup>

The methodological quality of the included RCTs ranged from moderate to very low (*Supplemental Online Material*). The one very low quality RCT<sup>33</sup> was also the only trial that did not involve a sham comparator. All of the studies randomized fewer than 100 participants in total. Quality assessed at the outcome level using GRADE methodology is presented in Table 2. The overall quality of the evidence was very low by GRADE Criteria.

#### Dosage of therapeutic ultrasound

The parameters of therapeutic US, as well as the frequency of its application, varied considerably among the included studies. For the continuous form, the minimum application period was one session<sup>30</sup> and the maximum was 15 sessions.<sup>33</sup> The frequency used was 1 MHz,<sup>30,32–34</sup> with the intensity ranging from 1 to 2 W/cm<sup>2</sup>. The therapy application time ranged from 5 to 10 min per session. For the pulsed form, the minimum application period was 10 times within two weeks, and the maximum was 24 sessions within 3 weeks.<sup>12</sup> The frequency used was 1 MHz,<sup>12,32</sup> with the intensity ranging from 0.2 to 2 W/cm<sup>2</sup>. The therapy application time ranged from 5 to 9.5 min per session.

#### Efficacy of therapeutic ultrasound

All four sham-controlled RCTs reported pain outcomes within 2 to 8 weeks of follow-up (N = 234).<sup>30–32,34</sup> Therapeutic US demonstrated small, but statistically significant benefits on

pain (Table 3). Supplemental analyses including the trial with a "no treatment" comparator<sup>33</sup> resulted in slightly larger magnitude of the effect. Outcome-level quality for pain assessed by GRADE was "low" due to imprecision of the estimate and Risk of Bias concerns.

Self-reported measures of function were described in 3 studies (N = 152), <sup>30,31,34</sup> upon which therapeutic US demonstrated small, statistically significant benefits (**Table 3**). As noted in the results for pain, supplemental analyses showed slightly larger, statistically significant effects. Therapeutic US demonstrated a significant benefit on the 6 min walk test (2 RCTs, <sup>30,31</sup> N = 87), but not in the timing to walk either 20 or 50 m (2 RCTs, <sup>32,34</sup> N = 147). One three-armed RCT noted a statistically significant reduction in the time to walk 20 m for pulsed, but not continuous US versus placebo (-1.06 [-1.75, -0.38] versus 0.01 [-0.65, 0.67]).<sup>32</sup> The forest plots for analyses of efficacy of therapeutic US on the selected outcomes are presented in **Fig. 2**.

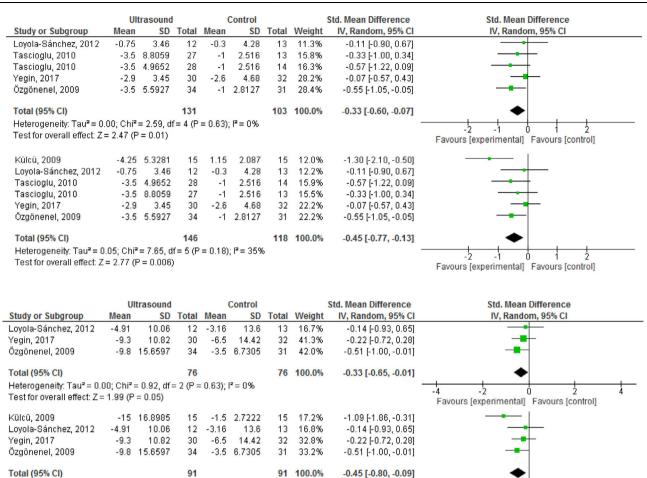
There was no measurable impact of therapeutic US on quality of life,  $^{30}$  or in cartilage volume change.  $^{31}$ 

## Safety of therapeutic ultrasound

Four RCTs<sup>31-34</sup> (N = 206) reported safety outcomes, including discontinuation due to adverse events and treatment-related adverse events. No treatment-related adverse events or discontinuations due to adverse events were reported by participants receiving therapeutic US or sham/ no treatment throughout the duration of any of the studies. Incidence of serious adverse events was not noted or reported.

# Discussion

The results of this meta-analysis demonstrated small, but statistically significant benefits of the use of therapeutic US versus sham in relieving pain and improving self-reported physical function in individuals with KOA. No adverse events were observed over the course of the follow-up of any included study. However, due to the low level of methodological quality and the limitation in the sample sizes of the



**Fig. 2** (a) Forest plot of effects of therapeutic ultrasound on pain versus sham only; (b) forest plot of supplemental analysis including inadequately controlled study; (c) forest plot of effects of therapeutic ultrasound on Function versus Sham only; (d) forest plot of supplemental analysis including inadequately controlled study.

included studies, there is still not adequate evidence to recommend therapeutic US as an effective treatment for patients with KOA.

Heterogeneity: Tau<sup>2</sup> = 0.03; Chi<sup>2</sup> = 4.07, df = 3 (P = 0.25); l<sup>2</sup> = 26%

#### Interpretation of the main findings

Test for overall effect: Z = 2.48 (P = 0.01)

Pain reduction induced by therapeutic US may be due to both thermal and non-thermal mechanisms,<sup>8-10</sup> which can be adjusted to warm superficial soft tissues or to accelerate tissue healing at the cellular level.<sup>8</sup> There is rich literature suggesting that OA has an inflammatory component,<sup>2,35</sup> and that painful symptoms in OA may be the result of activation of inflammatory pathways that cause an increased response of peripheral joint nociceptors.<sup>36</sup> It is possible that therapeutic US could mitigate inflammatory events by potentializing the repair phase, thus enhancing the overall healing process.<sup>7</sup> In addition, a recent systematic review demonstrated positive benefits of low-intensity pulsed US on some properties of cartilage formation, especially in the increase of type II collagen, which is the principal fiber structure of articular cartilage, and in the reduction of a specific type of metalloproteinase expression, an inflammatory mediator that predisposes to OA.<sup>37</sup> However, a major limitation was that the majority of the analyzed studies were done with animals and therefore, the findings cannot be fully translated to humans.

-5

Favours [experimental] Favours [control]

Regarding physical function, our results showed a beneficial effect for self-reported measures, but not for performance-based measures. Though valid and reliable patient-reported outcome measures are widely used in KOA,<sup>38</sup> patients tend to over- or under-estimate their abilities depending on their perceptions, which may be influenced by symptoms or other demographic factors.<sup>39,40</sup> In this meta-analysis, all of the included studies used the WOMAC questionnaire as the self-reported physical function measure. The WOMAC questionnaire is more influenced by the amount of pain experienced by individuals with KOA when compared with performance-based measures.<sup>40</sup> Thus, because our results suggest that therapeutic US was significantly more effective than sham for pain relief, participants may have overestimated their subjective physical function.

The two most recent reviews assessing the efficacy of therapeutic US in OA concluded that US may be effective in improving symptoms in individuals with KOA.<sup>14,15</sup> However, it is important to highlight that the composition of their contributing analyses and resulting conclusions are not

consistent with our own, primarily due to methodological differences. The first review<sup>14</sup> included 9 studies comparing therapeutic US with sham, but in many of these studies, US was paired with other therapies such as muscle strengthening and stretching exercises, manual therapy, and intraarticular hyaluronic acid injection. Moreover, in four of the included studies, both groups (US and control) were using concomitant therapies, such as hot packs, warm-up exercises, and passive range of motion exercises. The second review,<sup>15</sup> which evaluated only the effects of low-intensity pulsed US on KOA, also included two studies involving additional therapies in the intervention and control groups, including a home-based exercise program<sup>41</sup> and diclofenac,<sup>42</sup> respectively. The incorporation of additional treatments within studies and variation in types of additive therapies between studies could have added heterogeneity into the analyses of these studies, because neither the intervention nor the sham groups were compared consistently.

The recommendations of current clinical guidelines are in agreement with our results regarding US for KOA. The American College of Rheumatology,<sup>43</sup> the National Institute for Health and Care Excellence,<sup>44</sup> the Ottawa Panel,<sup>45–47</sup> and the European League Against Rheumatism,<sup>48</sup> either did not achieve expert panel consensus with respect to a recommendation for US or did not consider it as a potential therapy. In the current OA guidelines published by the Osteoarthritis Research Society International, an uncertain recommendation was issued for the use of the therapy as a complementary treatment option for people with KOA.<sup>5</sup>

We attempted to improve upon previous methodologies by updating the search with stricter selection criteria and by restricting our analyses to only RCTs that administered therapeutic US as monotherapy compared to a control. We also updated the evidence base with a recently published trial that has not been included in previous syntheses.<sup>30</sup>

# **Main limitations**

This meta-analysis has some limitations. Both the small number of studies included and the small sample sizes hindered the evidence synthesis. Because all of the studies randomized less than 100 participants, our results may be subject to small study effects. Meta-epidemiologic research in OA trials has suggested that small study effects are further amplified in complementary medical interventions.<sup>49</sup> Therefore, the magnitude and significance of the effects must be interpreted with caution until more robust research contributes to the body of evidence. The fact that data extraction was executed by a single reviewer based on resource and time restraints is another key limitation of our study, as this may have introduced bias and/or error into the estimates. However, given that GRADE assessments were conducted in tandem by two investigators, it is likely that any anomalies in bias assessments and/or effect size estimation would have been discovered during the thorough review of the RevMan file necessitated by each outcome-level assessment.

The variety found in the parameters of therapeutic US, as well as the frequency of its application may have introduced heterogeneity into our analysis, and ultimately these differences complicate the interpretation of our results. Interestingly, the studies with the lowest<sup>30</sup> and highest application frequencies<sup>12</sup> were the ones that demonstrated the least

effect on pain and functional outcomes. However, due to the small number of RCTs assessing each dosing regimen, it was not reasonable to perform subgroup analyses based on dose, nor is it possible to make further assumptions regarding the potential dose effects of therapeutic US. This is a topic for further research, because the dose effect of therapeutic US is debated in the literature.<sup>7</sup>

The follow-up periods of the studies also varied and were relatively short, ranging from 2 to 8 weeks. Because OA is a chronic condition, longer term studies, perhaps involving cyclical therapeutic sessions, would be required to determine the value of therapeutic US as part of an ongoing OA treatment regimen. Our study was also limited by the quality of the available data. Most of the studies were assessed to be of moderate to low quality. We attempted to mitigate the potential for performance bias and/or detection bias by limiting our primary analyses to RCTs that involved an adequate sham comparator. Finally, our search strategy may have been limited by the omission of content-specific databases such as PEDro, SPORTDiscus, or CINAHL.

## Implications for clinical practice

Clinicians and physical therapists have expressed interest in determining the effectiveness of therapeutic US in managing KOA, based on its potential to reduce pain, improve function, and stimulate cartilage repair, and due to the low risk of adverse events associated with the intervention.<sup>50</sup> However, as our systematic review showed, there is still a lack of large, high quality studies that evaluate the efficacy of the therapy in individuals with KOA. Therefore, even though US is currently used in clinical settings worldwide,<sup>8</sup> the body of evidence is not yet strong enough to support US, administered in isolation, as an effective treatment for patients with KOA. The lack of high-quality evidence may also contribute to a lack of uptake of the intervention by providers in some clinical settings.<sup>6</sup>

#### Scientific recommendations

Future investigators should aim to follow gold standard methods of designing and reporting trials, such as the Consolidated Standards of Reporting Trials (CONSORT) Statement for Randomized Trials of Nonpharmacologic Treatments.<sup>51</sup> Moreover, researchers should consider using the Template for Intervention, Description, and Replication (TIDieR)<sup>52</sup> checklist and guide, which improve the completeness of reporting, and ultimately, the replicability of interventions.

# Conclusion

The results of this meta-analysis suggest that therapeutic US is a safe non-pharmacological treatment option that may provide additional pain relief and functional improvement when used as an adjuvant therapy in individuals with KOA. However, the current body of evidence is not adequate in size or quality to make any meaningful recommendations for clinical practice. Future research should consist of larger, higher quality RCTs to determine the efficacy of therapeutic US in individuals with KOA.

# **Conflict of interest**

The authors declare that they have no conflicts of interest.

### Acknowledgments

L.O. Dantas, M.C. Osani, R.R. Bannuru contributed to the conception and design of this study. M.C. Osani and R.R. Bannuru contributed to the analyses of the data. All authors contributed to the interpretation of the data. Article drafts were written by L.O. Dantas and M.C. Osani and critically revised by all authors. The final version of the article was approved by all authors. L.O. Dantas takes responsibility for the integrity of the work as a whole.

L.O. Dantas was financially supported by Sao Paulo Research Foundation (FAPESP, Process number #2018/23705-3), Dr. Bannuru was supported by the National Center for Complementary and Integrative Health of the National Institutes of Health (K23AT009374). The contents of this manuscript are solely the responsibility of the authors and do not necessarily represent the official views of the NCCIH.

# Supplementary materials

Supplementary material associated with this article can be found in the online version at doi:10.1016/j. bjpt.2021.07.003.

# References

- 1. Vos T, Flaxman AD, Naghavi M, et al. Years lived with disability (YLDs) for 1160 sequelae of 289 diseases and injuries 1990–2010: a systematic analysis for the global burden of disease study 2010. *Lancet*. 2012;380(9859):2163–2196. https://doi.org/10.1016/S0140-6736(12)61729-2.
- 2. Hunter DJ, Bierma-Zeinstra S. Osteoarthritis. Lancet. 2019;393(10182):1745–1759. https://doi.org/10.1016/ S0140-6736(19)30417-9.
- Deveza LA, Hunter DJ, Van Spil WE. Too much opioid, too much harm. Osteoarthr Cartil. 2018;26(3):293–295. https://doi.org/ 10.1016/j.joca.2017.12.003.
- Thorlund JBB, Turkiewicz A, Prieto-Alhambra D, Englund M. Opioid use in knee or hip osteoarthritis: a region-wide populationbased cohort study. *Osteoarthr Cartil*. 2019;27(6):871–877. https://doi.org/10.1016/j.joca.2019.01.005.
- Bannuru RR, Osani MC, Vaysbrot EE, et al. OARSI guidelines for the non-surgical management of knee, hip, and polyarticular osteoarthritis. Osteoarthr Cartil. 2019;27(11):1578–1589. https://doi.org/10.1016/j.joca.2019.06.011.
- Selten EMH, Vriezekolk JE, Nijhof MW, et al. Barriers impeding the use of non-pharmacological, non-surgical care in hip and knee osteoarthritis: the views of general practitioners, physical therapists, and medical specialists. J Clin Rheumatol. 2017. https://doi.org/10.1097/RHU.00000000000562.
- Watson T. Ultrasound in contemporary physiotherapy practice. Ultrasonics. 2008;48(4):321–329. https://doi.org/10.1016/j. ultras.2008.02.004.
- Onks CA, Wawrzyniak J. The physical therapy prescription. *Med Clin North Am*. 2014;98(4):869–880. https://doi.org/10.1016/j.mcna.2014.03.009.

- 9. Paliwal S, Mitragotri S. Therapeutic opportunities in biological responses of ultrasound. *Ultrasonics*. 2008;48(4):271–278. https://doi.org/10.1016/j.ultras.2008.02.002.
- Baker KG, Robertson VJ, Duck FA. A review of therapeutic ultrasound: biophysical effects. 2001. Phys Ther. July 2001;81(7):p1351.
- 11. Rutjes AW, Nüesch E, Sterchi R, Jüni P. Therapeutic ultrasound for osteoarthritis of the knee or hip. *Cochrane Database Syst Rev.* 2010(1) CD003132. https://doi.org/10.1002/14651858. CD003132.pub2.
- Loyola-Sánchez A, Richardson J, MacIntyre NJ. Efficacy of ultrasound therapy for the management of knee osteoarthritis: a systematic review with meta-analysis. *Osteoarthr Cartil*. 2010;18(9):1117–1126. https://doi.org/10.1016/j. joca.2010.06.010.
- Zeng C, Li H, Yang T, et al. Effectiveness of continuous and pulsed ultrasound for the management of knee osteoarthritis: a systematic review and network meta-analysis. Osteoarthr Cartil. 2014;22(8):1090–1099. https://doi.org/10.1016/j. joca.2014.06.028.
- Zhang C, Xie Y, Luo X, et al. Effects of therapeutic ultrasound on pain, physical functions and safety outcomes in patients with knee osteoarthritis: a systematic review and meta-analysis. *Clin Rehabil.* 2016;30(10):960–971. https://doi.org/10.1177/ 0269215515609415.
- Zhou XY, Zhang XX, Yu GY, et al. Effects of low-intensity pulsed ultrasound on knee osteoarthritis: a meta-analysis of randomized clinical trials. *Biomed Res Int.* 2018;2018:1–7. https:// doi.org/10.1155/2018/7469197.
- Moher D, Shamseer L, Clarke M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015 statement. Syst Rev. 2015;4(1):1. https://doi.org/ 10.1186/2046-4053-4-1.
- Guyatt GH, Oxman AD, Kunz R, et al. GRADE guidelines: 2. Framing the question and deciding on important outcomes. J Clin Epidemiol. 2011;64(4):395–400. https://doi.org/10.1016/ j.jclinepi.2010.09.012.
- Israel H, Richter RR. A guide to understanding meta-analysis. J Orthop Sports Phys Ther. 2011. https://doi.org/10.2519/ jospt.2011.3333.
- 19. The Cochrane collaboration. The Cochrane Risk of Bias Tool. http://handbook.cochrane.org.
- 20. The Cochrane collaboration. RevMan 5.3. http://tech. cochrane.org/revman.
- Evidence Prime GRADEpro GDT. GRADEpro Guideline Development Tool [Software]. McMaster University; 2015. https://gradepro.org.
- 22. Cohen J. Statistical Power Analysis for the Behavioral Sciences. 2nd Ed. 1998. (Hillsdale NJ. LE, ed.).
- Stuck AE, Rubenstein LZ, Wieland D, et al. Bias in meta-analysis detected by a simple, graphical. *BMJ*. 1998;316(7129). https:// doi.org/10.1136/bmj.316.7129.469. 469-469.
- 24. Higgins J. Cochrane Handbook for Systematic Reviews of Interventions. 2011. Version 5.1.0 [updated March 2011]. The Cochrane Collaboration, 2011. Available from www.handbook. cochrane.org. Cochrane Collab.
- 25. DerSimonian RLN. Meta-analysis in clinical trials. *Control Clin Trials*. 1986;7(3). 177-1.
- Bliddal H, Christensen R. The treatment and prevention of knee osteoarthritis: a tool for clinical decision-making. *Expert Opin Pharmacother*. 2009;10(11):1793–1804. https://doi.org/ 10.1517/14656560903018911.
- 27. Mantel NHW. Statistical aspects of the analysis of data from retrospective studies of disease. J Natl Cancer Inst. 1959;22 (4):719.
- **28.** Higgins JPTS. Quantifying heterogeneity in a meta-analysis. *Stat Med.* 2002;21(11):153.
- 29. Rücker G, Schwarzer G, Carpenter JR, Schumacher M. Undue reliance on I(2) in assessing heterogeneity may mislead. *BMC*

Med Res Methodol. 2008;8:79. https://doi.org/10.1186/1471-2288-8-79.

- Yeğin T, Altan L, Kasapoğlu AksoyM. The effect of therapeutic ultrasound on pain and physical function in patients with knee osteoarthritis. Ultrasound Med Biol. 2017;43(1):187–194. https://doi.org/10.1016/j.ultrasmedbio.2016.08.035.
- Loyola-Sánchez A, Richardson J, Beattie KA, Otero-Fuentes C, Adachi JD, MacIntyre NJ. Effect of low-intensity pulsed ultrasound on the cartilage repair in people with mild to moderate knee osteoarthritis: a double-blinded, randomized, placebocontrolled pilot study. Arch Phys Med Rehabil. 2012;93 (1):35–42. https://doi.org/10.1016/j.apmr.2011.07.196.
- 32. Tascioglu F, Kuzgun S, Armagan O, Ogutler G. Short-term effectiveness of ultrasound therapy in knee osteoarthritis. *J Int Med Res.* 2010;38(4):1233–1242. https://doi.org/ 10.1177/147323001003800404.
- **33.** Külcü DG, Gülşen G, Altunok EÇ. Short-term efficacy of pulsed electromagnetic field therapy on pain and functional level in knee osteoarthritis: a randomized controlled study. *Turk J Rheumatol*. 2009;24(3):144–148.
- Özgönenel L, Aytekin E, Durmuşoğlu G. A double-blind trial of clinical effects of therapeutic ultrasound in knee osteoarthritis. *Ultrasound Med Biol*. 2009;35(1):44–49. https://doi.org/ 10.1016/j.ultrasmedbio.2008.07.009.
- Wang X, Hunter DJ, Jin X, Ding C. The importance of synovial inflammation in osteoarthritis: current evidence from imaging assessments and clinical trials. *Osteoarthr Cartil*. 2018;26 (2):165–174. https://doi.org/10.1016/j.joca.2017.11.015.
- 36. Miller RE, Tran PB, Obeidat AM, et al. The role of peripheral nociceptive neurons in the pathophysiology of osteoarthritis pain. *Curr Osteoporos Rep.* 2015;13(5):318–326. https://doi. org/10.1007/s11914-015-0280-1.
- Rothenberg JB, Jayaram P, Naqvi U, Gober J, Malanga GA. The role of low-intensity pulsed ultrasound on cartilage healing in knee osteoarthritis: a review. *PM&R*. 2017;9(12):1268–1277. https://doi.org/10.1016/j.pmrj.2017.05.008.
- Lundgren-Nilsson Å, Dencker A, Palstam A, et al. Patientreported outcome measures in osteoarthritis: a systematic search and review of their use and psychometric properties. *RMD Open*. 2018;4(2):1–7. https://doi.org/10.1136/rmdopen-2018-000715.
- Dayton MR, Judd DL, Hogan CA, Stevens-Lapsley JE. Performance-based versus self-reported outcomes using the hip disability and osteoarthritis outcome score after total hip arthroplasty. Am J Phys Med Rehabil. 2016;95(2):132–138. https://doi.org/10.1097/PHM.00000000000357.
- 40. Terwee CB, van der Slikke RMA, van Lummel RC, Benink RJ, Meijers WGH, de Vet HCW. Self-reported physical functioning was more influenced by pain than performance-based physical functioning in knee-osteoarthritis patients. J Clin Epidemiol. 2006;59(7):724–731. https://doi.org/10.1016/j. jclinepi.2005.11.019.
- Cakir S, Hepguler S, Ozturk C, Korkmaz M, Isleten B, Atamaz FC. Efficacy of therapeutic ultrasound for the management of knee

osteoarthritis: a randomized, controlled, and double-blind study. *Am J Phys Med Rehabil*. 2014;93(5):405–412. https:// doi.org/10.1097/PHM.0000000000033.

- Jia L, Wang Y, Chen J, Chen W. Efficacy of focused low-intensity pulsed ultrasound therapy for the management of knee osteoarthritis: a randomized, double blind, placebo-controlled trial. *Sci Rep.* 2016;6(September):1–9. https://doi.org/10.1038/ srep35453.
- 43. Hochberg MC, Altman RD, April KT, et al. American College of Rheumatology 2012 recommendations for the use of nonpharmacologic and pharmacologic therapies in osteoarthritis of the hand, hip, and knee. *Arthritis Care Res.* 2012;64(4):465–474. https://doi.org/10.1002/acr.21596.
- 44. Chae KJ, Choi MJ, Kim KY, Ajayi FF, Chang IS, Kim IS. National institute for health and care excellence. osteoarthritis: care and management. *Natl Clin Guidel Cent (UK)*. 2014. December.
- Brosseau L, Taki J, Desjardins B, et al. The Ottawa panel clinical practice guidelines for the management of knee osteoarthritis. Part one: introduction, and mind-body exercise programs. *Clin Rehabil*. 2017;31(5):582–595. https://doi.org/10.1177/ 0269215517691083.
- 46. Brosseau L, Taki J, Desjardins B, et al. The Ottawa panel clinical practice guidelines for the management of knee osteoarthritis. Part two: strengthening exercise programs. *Clin Rehabil*. 2017;31(5):596–611. https://doi.org/10.1177/0269215517691084.
- Brosseau L, Taki J, Desjardins B, et al. The Ottawa panel clinical practice guidelines for the management of knee osteoarthritis. Part three: aerobic exercise programs. *Clin Rehabil*. 2017;31(5):582–595. https://doi.org/10.1177/ 0269215517691083.
- Fernandes L, Hagen KB, Bijlsma J, et al. EULAR recommendations for the non-pharmacological core management of hip and knee osteoarthritis. *Ann Rheum Dis.* 2013;72(7):1125–1135. https://doi.org/10.1136/annrheumdis-2012-202745.
- Nüesch E, Trelle S, Reichenbach S, et al. Small study effects in meta-analyses of osteoarthritis trials: meta-epidemiological study. BMJ. 2010;341(7766):241. https://doi.org/10.1136/bmj. c3515.
- MacIntyre NJ, Busse JW, Bhandari M. Physical therapists in primary care are interested in high quality evidence regarding efficacy of therapeutic ultrasound for knee osteoarthritis: a provincial survey. Sci World J. 2013;2013:1–7. https://doi.org/ 10.1155/2013/348014.
- Barbour V, Bhui K, Chescheir N, et al. CONSORT statement for randomized trials of nonpharmacologic treatments: a 2017 update and a CONSORT extension for nonpharmacologic trial abstracts. Ann Intern Med. 2017;167(1):40-47. https://doi. org/10.7326/M17-0046.
- Yamato T, Maher C, Saragiotto B, Moseley A, Hoffman T, Elkins M. The TIDieR checklist will beneit the physical therapy profession. J Orthop Sport Phys Ther. 2016;46(6). https://doi.org/ 10.2519/jospt.2016.0108. 402-404 3p.